Addressing integrity risks in wastewater management: good and bad practices

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# Alarming facts...

- 80% wastewater released untreated into natural water bodies (WWAP, 2017)
- **1.5 billion people globally connected to sewage system without treatment facilities** (Baum et al., 2013)
- In 2012, 800,000 people died worldwide due to exposure to contaminated water (WWAP, 2017)
- Water quality will deteriorate further in the next decades, particularly in lowincome countries and water-scarce regions (IFPRI, 2015)
- Along the Chinese coastline, 68 percent of discharge points for release of industrial wastewater into the sea have been reported as sites of illegal dumping (Greenpeace, 2014)
- In Ghana, fewer than 10 out of 70 wastewater treatment plants were operating effectively (Murray & Drechsel, 2011)

Corruption is fundamentally linked to water pollution in many instances." (Harrington, 2011) Integrity Risks in Wastewater Management

# Bonaire

### Inflated Sewage Treatment Plant Construction Costs

- Development of sewerage system identified as way to protect the coral from wastewater contamination
- In 2000s, funding from the European Union (EU) for construction of a sewage and wastewater treatment system requested
- Whistleblower: "I had to leave in 2011 because I did not cooperate in the fraud. I always had to pay more than the contractor was permitted under the construction agreement. The amount rose to €250,000" (Dohmen, 2013)
- By 2013, the EU halted all financing of development projects for Bonaire on suspicion of fraud

# Pollution & Corruption

**Key elements** 

- Infrastructure investments
- Licenses and permits
- Enforcement
- Monitoring & reporting
- Corruption as an environmental crime



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# Pollution & Corruption - Drivers

#### Large economic interest:

- Economic development
- Employment
- Costs of wastewater treatment



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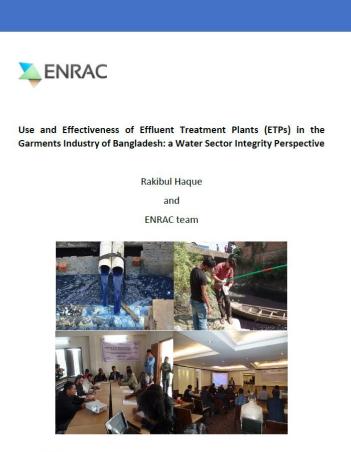
### Bangladesh

31/08/2017

Dysfunctional Effluent Treatment Plants in Garments Industry

# Inadequate monitoring and inconsistent enforcement

- 40-80% of factories have ETPs, yet many not designed according to standards and/or not operated in an appropriate and responsible manner.
- Lack of monitoring systems.
- Lack of accountability mechanisms: inspections are not carried out properly, including inspection officers not getting (immediate) access to factories.
- Indications of bribery and extortion.









Integrity in Wastewater Governance: Better Practices

# **Positive cases**



- Successful action of rural communities in Mongolia against polluting gold mining
- Multi-stakeholder oversight of local sanitation plans (Laos)
- Transparency and pro-active communication on wastewater treatment and re-use (Windhoek, Namibia)

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# Framework for Action

THE INTEGRITY WALL		How to strengthen INTEGRITY in the water sector	
TRANSPARENCY	ACCOUNTABILITY	PARTICIPATION	ANTI-CORRUPTION
Increase transparency by assessing extent of corruption, making information available, and clarifying decision-making processes.	Increase accountability by monitoring performance more clearly and clarifying lines of responsibility.	Increase participation by building understanding and ensuring places at the table for different stakeholders.	Fight corruption by speaking out, protecting whistle-blowers, and strengthening oversight and law enforcement.
<ul> <li>For example:</li> <li>+ Strengthen 'right to information' laws and processes (See China case)</li> <li>+ Research extent of corruption and social and economic damage</li> <li>+ Publish budgets, plans, contracting documents in accessible formats (see Brazil case)</li> <li>+ Develop advocacy and encourage media reporting (see Thailand case)</li> <li>+ Clarify and communicate rights and obligations of actors and institutions (see Namibia case)</li> </ul>	<ul> <li>For example:</li> <li>+ Clarify lines of responsibility in governance and funding systems (see Bangladesh case)</li> <li>+ Strengthen sector monitoring and reporting on human rights and SDGs</li> <li>+ Audit finances and make results public (see Bonaire case)</li> <li>+ Support citizen monitoring of budgets, procurement and projects (see Namibia case)</li> <li>+ Build stakeholder feedback and review mechanisms</li> </ul>	<ul> <li>For example:</li> <li>+ Include water user associations in decision-making (see Mongolia case)</li> <li>+ Build capacities for stakeholder involvement (see Namibia case)</li> <li>+ Promote social inclusion and address gender disparities</li> <li>+ Balance stakeholder interests in policy-making and legislation (see Mongolia case)</li> <li>+ Ensure places at table for civil society, private sector, and excluded groups (see Mongolia case)</li> </ul>	<ul> <li>For example:</li> <li>+ Enforce zero tolerance for corruption: nobody above the law</li> <li>+ Build links and joint action with anti- corruption bodies</li> <li>+ Encourage and protect whistle- blowers (see Bonaire case)</li> <li>+ Implement and enforce rules on conflicts of interest, collusion, and favouritism (see Thailand case)</li> <li>+ Strengthen role of regulators and law enforcement systems (see Bangladesh case)</li> </ul>

More info at www.waterintegritynetwork.net/wigo

Adapted from the Water Integrity Global Outlook (WIN, 2016)

# Strengthening the Role of Regulators (1)



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- Institute strong and independent **licencing** agency at central level
- Institute strong and independent enforcement agency at central level
- **Transparency** is a powerful tool!
- Proper **whistleblower** arrangements

# Strengthening the Role of Regulators (2)



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- Ensuring the autonomy of regulators and developing strong monitoring frameworks and minimum standards (e.g. for water quality)
- Water sector regulators must collaborate with other regulatory and public oversight institutions, such as anti-corruption commission, parliament, public procurement authority
- Need to strengthen consumer feedback and analysing media reports as sources of information for assessing service quality and utility governance

# The Role of Citizen Monitoring

- Citizens are key to monitoring and reporting on issues of pollution and dumping of illegal waste
- Citizens can indicate when services are not functioning according to standards and push for greater accountability
- Participatory monitoring works best when officials, users, and citizens engage with each other (WIN, 2016) and when the participatory process is seriously and transparently handled



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# Thank you